

# Introduction to Differential Topology

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- 1 Etude de propriétés globales des variétés différentielles.

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- 2 Trouver et étudier des invariants topologiques des variétés.
- 3 Riemann, Weyl, Whitney, Milnor.

# Plan

- 1 Motivations
- 2 Background
  - The category  $\mathcal{C}^\infty$
  - Embedded Submanifolds
  - Differentiable Maps Properties
- 3 Submanifolds Achievements
  - Regular Value Theorem
  - Transversality
- 4 Embedding theorem

# Objects

## Definition

Let  $A$  be a subset of  $\mathbb{R}^n$ . A map  $f : A \rightarrow \mathbb{R}^k$  is said to be differentiable at  $x \in A$  if there exist an open subset  $U$  of  $\mathbb{R}^n$  and a differential map  $F : U \rightarrow \mathbb{R}^k$  such that  $F|_{U \cap A} = f$ .

$$H^n = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_n \geq 0\}, \quad n \geq 1.$$

## Definition

A smooth manifold of dimension  $n$  is a topological Hausdorff space  $M$  with a countable basis such that

- 1 each point  $x$  in  $M$  has an open neighborhood  $U$  which is homeomorphic to an open subset of the space  $H^n$  by a homeomorphism  $\varphi$ :

$$\varphi : U \rightarrow \varphi(U) \subset H^n.$$

- 2 if  $(U_i)_{i \in I}$  is the set of such neighborhoods, each homeomorphism  $\varphi_j \circ \varphi_i^{-1}$  is  $C^\infty$ , where

$$\varphi_j \circ \varphi_i^{-1} : \varphi_i(U_i \cap U_j) \rightarrow \varphi_j(U_i \cap U_j).$$

$$H^n = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_n \geq 0\}, \quad n \geq 1.$$

$$\partial H^n = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_n = 0\}, \quad n \geq 1.$$

$$\partial M = \{x \in M \mid \exists \text{ a chart } (\varphi, U), x \in U, \varphi(x) \in \partial H^n\}.$$

$$\text{int}M = \{x \in M \mid \exists \text{ a chart } (\varphi, U), x \in U, \varphi(x) \in \text{int}H^n\}.$$

$$M = \partial M \sqcup \text{int}M.$$



**Examples -:**

- 1  $D^n, \partial D^n = S^{n-1}.$
- 2  $S^1 \times D^2, \partial(S^1 \times D^2) = S^1 \times S^1.$
- 3 The Moebius band  $M$  whose boundary is a circle.
- 4 The exterior  $X$  of a knot in  $S^3$ .  $\partial X = S^1 \times S^1.$

# Morphisms

Let  $M$  and  $N$  two smooth manifolds respectively of dimension  $m$  and  $n$ .

A map  $f : M \rightarrow N$  is *smooth* at a point  $x \in M$  if there exist chart  $(U, \varphi)$  of  $M$  at  $x$  and a chart  $(\psi, V)$  of  $N$  at  $f(x)$  such that the composition  $\psi \circ f \circ \varphi^{-1}$  is smooth as a map from an open subset of  $H^m$  to a subset of  $H^n$ . The map is smooth if it is smooth at each point  $x \in M$ .

# From local to global

## Definition

Let  $M$  be a smooth manifold, and let  $\mathcal{U} = (U_i)_{i \in I}$  be an arbitrary open cover of  $M$ . A *partition of unity subordinate to  $\mathcal{U}$*  is a collection of smooth functions  $\{\psi_i : M \rightarrow [0, 1]\}_{i \in I}$  with the following properties:

- (i)  $\text{supp } \psi_i \subset U_i$ .
- (ii) The set  $\{\text{supp } \psi_i\}_{i \in I}$  is locally finite.
- (iii)  $\sum_{i \in I} \psi_i(x) = 1$  for all  $x \in M$ .

## Theorem

*If  $M$  is a smooth manifold and  $\mathcal{U} = (U_i)_{i \in I}$  is any open cover of  $M$  there exists a smooth partition of unity subordinate to  $\mathcal{U}$ .*

## Proposition

If  $M$  is a smooth manifold and  $\{U_i\}$  is an open covering of  $M$  then there is an open covering  $\{V_i\}$  such that,  $\forall i$ ,  $\overline{V_i} \subset U_i$ .

## Definition

If  $M$  is a smooth manifold,  $A \subset M$  is a closed subset, and  $U$  an open subset of  $M$  containing  $A$ , a continuous function  $\psi : M \rightarrow [0, 1]$  is called a *bump function* for  $A$  supported in  $U$  if  $\psi = 1$  on  $A$  and  $\text{supp } \psi \subset U$

## Theorem (Existence of Bump Functions)

Let  $M$  be a smooth manifold. For any closed subset  $A \subset M$  and any open set  $U$  containing  $A$ , there exists a smooth bump function for  $A$  supported in  $U$ .

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# Submanifolds

## Definition

A subset  $V$  of  $\mathbb{R}^n$  is a submanifold of dimension  $k$  if each point of  $V$  belongs to the domain of a chart  $\varphi : U \rightarrow \mathbb{R}^n$  of  $\mathbb{R}^n$  such that

$$V \cap U = \varphi^{-1}(H^k)$$

## Definition

Let  $M$  be an  $n$ -manifold with or without boundary. A subset  $S$  of  $M$  is called an *embedded submanifold* of dimension  $k$  if each point  $x \in S$  belongs to the domain of a chart  $\varphi : U \rightarrow \mathbb{R}^n$  of  $M$  such that  $\varphi(U \cap S)$  is a submanifold of  $\mathbb{R}^n$  of dimension  $k$  in the sens just defined.

## Definition

A submanifold  $S$  of a manifold  $M$  is said a *neat submanifold* if  $\partial S = S \cap \partial M$ .

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Let  $M$  be a smooth manifold. We assume that the notion of tangent bundle  $TM = \cup_{x \in M} T_x M$  is known.

Let  $M$  and  $N$  be two smooth manifolds. Let  $f : M \rightarrow N$  be a smooth map, we denote by  $T_x f$  its derivative at  $x$ .

We recall the following definitions:

### Definition

The *rank* of  $f$  at  $x$  is the rank of the linear map  $T_x f : T_x M \rightarrow T_{f(x)} N$ . The map  $f$  is said to have constant rank if it has the same rank at every point  $x \in M$ .

## Theorem (Rank Theorem for Manifolds)

*Suppose  $M$  and  $N$  are smooth manifolds of dimensions  $m$  and  $n$ , respectively, and  $f : M \rightarrow N$  be a smooth map of constant rank  $k$ . For each  $x \in M$ , there exist coordinate charts  $\varphi$  around  $x$  and  $\psi$  around  $f(x)$  so that the map  $\psi f \varphi^{-1}$  is represented by*

$$\psi f \varphi^{-1} : \quad \mathbb{R}^m \quad \rightarrow \quad \mathbb{R}^n$$

$$(x_1, \dots, x_m) \quad \mapsto \quad (x_1, \dots, x_k, 0, \dots, 0)$$

## Definition

- 1 The map  $f$  is said to be a *submersion* at a point  $x \in M$  if the linear map  $T_x f$  is surjective, i.e. if  $\text{rank } T_x f = \dim N$ .
- 2 The map  $f$  is said to be an *immersion* at a point  $x \in M$  if the linear map  $T_x f$  is injective, i.e. if  $\text{rank } T_x f = \dim M$ .
- 3 The map  $f$  is said to be an *embedding* if it is an immersion at each point  $x \in M$  that is also a homeomorphism onto its image  $f(M)$ .

## Examples -

**Remark** -A topological embedding which is smooth is not necessarily a smooth embedding.

**Example** -

### Proposition

*Let  $f : M \rightarrow N$  be an injective immersion. If either of the following conditions holds, then  $f$  is an embedding with closed image:*

- (i)  $M$  is compact.
- (ii)  $f$  is a proper map.

**Example** -the inclusion  $S^n \hookrightarrow \mathbb{R}^{n+1}$  is an embedding.

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## Definition

Let  $f : M \rightarrow N$  be a smooth map. A point  $x \in M$  is said to be a *regular point* of  $f$  if  $T_x f$  is surjective. It is a *critical point* otherwise.

A point  $y \in N$  is said to be a *regular value* of  $f$  if the set  $f^{-1}(y)$  consists of regular points or if  $f^{-1}(y)$  is an empty set. It is a *critical value* otherwise.

## Theorem

Let  $M$  and  $N$  be a manifolds with boundary. Let  $f : M \rightarrow N$  be a smooth map. If  $y \in N \setminus \partial N$  is a regular value for both  $f$  and  $f|_{\partial M}$ , then  $f^{-1}(y)$  is a neat submanifold of  $M$ .

### Theorem (Sard's theorem.)

*If  $f : M \rightarrow N$  is any smooth map, the set of critical values of  $f$  has measure zero in  $N$ .*

**Remark -:** The set of regular values of a differential map  $f : M \rightarrow N$  is dense in  $N$ .

**Example -:**

- 1  $O(n)$ .
- 2 Each knot bounds a surface in  $S^3$ .

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## Definition

Let  $M$  and  $N$  be two differential manifolds. Let  $S$  be a  $k$ -dimensional submanifold of  $N$ . A differentiable map  $f : M \rightarrow N$  is called transverse to  $S$  if

$$T_x f(T_x M) + T_{f(x)} S = T_{f(x)} N, \quad \forall x \in f^{-1}(S).$$

## Theorem

*Let  $B$  be a submanifold of  $N$  and  $f : M \rightarrow N$  is a smooth map. Suppose  $\partial B = \emptyset$  and  $f, f|_{\partial M}$  are both transverse to  $B$ . Then  $f^{-1}(B)$  is a submanifold with boundary  $f^{-1}(B) \cap \partial M$ .*

## Proof.

Show that  $f^{-1}(S)$  is locally a submanifold.

Let  $x \in f^{-1}(S)$ , there exists a chart  $(V, \psi)$  of  $N$  at  $y = f(x)$  such that  $V \cap S$  is a submanifold of  $N$  and  $\psi(V \cap S) = W \cap \mathbb{R}^{n-k}$  where  $W$  is an open subset of  $\mathbb{R}^n$  containing 0 and  $\mathbb{R}^{n-k} = \mathbb{R}^{n-k} \times \{0\}$ .

Let  $\pi : \mathbb{R}^n \rightarrow \mathbb{R}^k$  be the projection on the last coordinates . Then  $\pi \circ \psi(V \cap S) = 0$ . Now if  $U = f^{-1}(V) \cap f^{-1}(S)$ , and  $g = \pi \circ \psi \circ f$ , then  $U = g^{-1}(0)$ .

Since  $dg_x = d(\pi \circ \psi)_y \circ df_x$  and  $d(\pi \circ \psi)_y$  is surjective, if  $v \in \mathbb{R}^k$ , then there exists  $u \in T_y N$  such that  $d(\pi \circ \psi)_y(u) = v$ . Since  $f \pitchfork Z$ ,  $u = d_x f(w_1) + w_2$  where  $w_1 \in T_x M$  and  $w_2 \in T_y Z$ . Hence  $d(\pi \circ \psi)_y(u) = d(\pi \circ \psi)_y \circ df_x(u) + 0$  because  $T_y Z = T_y(Z \cap U) = \ker d(\pi \circ \psi)_y$ . □

## Corollary

Let  $A$  and  $B$  be two submanifolds of a manifold  $M$  such that

$$T_x A + T_x B = T_x M, \quad \forall x \in A \cap B.$$

Then  $A \cap B$  is a submanifold of  $M$  such that  $\text{codim} A \cap B = \text{codim} A + \text{codim} B$ . One says that  $A$  and  $B$  are transverse to each other.

## Proof.

apply the last theorem to the inclusion map  $i_A : A \hookrightarrow M$ . □

## Example -

The major task of this section is to show the following theorem

### Theorem (Whitney)

*Let  $M$  be a compact  $n$ -manifold. Then there is an embedding of  $M$  in  $\mathbb{R}^{2n+1}$ .*

## First step

### Theorem

*Let  $M$  be a compact  $n$ -manifold. Then there is an embedding of  $M$  in  $\mathbb{R}^{m(n+1)}$  for some positive integer  $m$ .*

**Proof** -We will start by proving the theorem in the special case of a compact  $n$ -manifold  $M$ . Then  $M$  has a finite set of charts. We denote

$$B^n(0, r) = \{x \in \mathbb{R}^n \mid |x| < r\}$$

## Lemma

*Let  $M$  be a smooth manifold. Every open cover  $(U_i)$  of  $M$  has a regular refinement  $(W_i)$ , i.e.*

- (i) the cover  $(W_i)$  is countable and locally finite.*
- (ii) Each  $W_i$  is open and is the domain of a smooth coordinate map  $\varphi_i : W_i \rightarrow \mathbb{R}^n$  whose image is  $B^n(0, 3) \subset \mathbb{R}^n$ .*
- (iii) The collection  $(U_i)$  still covers  $M$ , where  $U_i = \varphi_i^{-1}(B^n(0, 1))$*

Then, starting with an atlas of  $M$ , we associate it with a finite regular refinement as in the lemma:  $(\varphi_i, U_i)_{1 \leq i \leq m}$ .

Let

$$\lambda : \mathbb{R}^n \rightarrow [0, 1]$$

be a bump function for  $\overline{B^n(0, 1)}$  supported in  $B^n(0, 2)$ .  
 Define smooth maps

$$\lambda_i : M \rightarrow [0, 1]$$

$$x \mapsto \lambda_i(x) = \begin{cases} \lambda \circ \varphi_i & \text{on } U_i \\ 0 & \text{on } M \setminus U_i \end{cases}$$

It follows that the closed sets  $B_i = \lambda^{-1}(1) \subset U_i$  cover  $M$ .

Define maps

$$f_i : M \rightarrow \mathbb{R}^n$$

$$x \mapsto f_i(x) = \begin{cases} \lambda_i(x)\varphi_i(x) & \text{if } x \in U_i \\ 0 & \text{if } x \in M \setminus U_i \end{cases}$$

Put

$$g_i = (f_i, \lambda_i) : M \rightarrow \mathbb{R}^n \times \mathbb{R} = \mathbb{R}^{n+1}.$$

and

$$g = (g_1, \dots, g_m) : M \rightarrow \mathbb{R}^{m(n+1)}.$$

We note that  $g$  is an injective immersion. Then  $g$  is an embedding.



## Second Step

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- If  $p \leq 2n + 1$ , there is nothing more to prove: hence we assume  $p > 2n + 1$ .
- It is sufficient to prove that such an  $M$  embeds in  $\mathbb{R}^{p-1}$ . By repeating the argument, the manifold  $M$  will eventually embed in  $\mathbb{R}^{2n+1}$ .

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Are there some vectors  $v$  for which  $\pi_{v|_M}$  is an embedding?

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We limit our search to unit vectors.

- For  $\pi_V$  to be injective, means that if  $x$  and  $y$  are in  $M$ ,  $x \neq y$ , then

$$v \neq \frac{x - y}{|x - y|} \quad (1)$$

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- The requirement that  $\pi_V$  be an immersion:  $\forall z \in TM, z \neq 0$ ,

$$v \neq \frac{z}{|z|} \quad (2)$$

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$$\begin{aligned} \sigma : M \times M \setminus \Delta &\rightarrow S^{p-1} \\ (x, y) &\mapsto \sigma(x, y) = \frac{x-y}{|x-y|} \end{aligned}$$

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Then  $v$  satisfies (1) iff  $v$  is not in the image of  $\sigma$ !

Note that  $\dim(M \times M \setminus \Delta) = 2n < \dim S^{p-1}$ .

### Lemma (Corollary of Sard Theorem)

*Let  $g : A \rightarrow B$  be a smooth map. If  $\dim B > \dim A$  then the complement of the image of  $g$  is dense in  $B$ .*

By applying the lemma to  $\sigma$ , we get that every nonempty open subset of  $S^{p-1}$  contains  $v$  which is not in the image of  $\sigma$ .

The condition 2 holds for all  $z \in TM$  provided it holds whenever  $|z| = 1$ . Let

$$T_1M = \{z \in TM : |z| = 1\}$$

which is a compact submanifold of  $TM$  of dimension  $2n - 1$ . To see that one can consider the map

$$\begin{aligned} \nu : TM &\rightarrow \mathbb{R} \\ z &\mapsto |z|^2 \end{aligned}$$

and then notice that  $T_1M = \nu^{-1}(1)$  where 1 is a regular value of  $\nu$ .

We then apply the last lemma to the restriction to  $T_1M$  of the projection of  $M \times \mathbb{R}^p$  onto  $S^{p-1}$ .

$$\tau; T_1M \rightarrow S^{p-1}$$

## Theorem

*Let  $M$  be an  $n$ -dimensional manifold which is compact. Then there is a neat embedding of  $M$  into  $H^{2n+1}$ .*